FORM PTC-1390 (Modified) U.S. PATENT AND TRADEMARK OFFICE; U.S. DEPARTMENT OF COMMERCE REV. 12-2304) 265436US90PCT TRANSMITTAL LETTER TO THE UNITED STATES U.S. APPLICATION NO. (If known, see 37 CFR 1.5) DESIGNATED/ELECTED OFFICE (DO/EO/US) U/523394 CONCERNING A SUBMISSION UNDER 35 U.S.C. 371 INTERNATIONAL APPLICATION NO. PRIORITY DATE CLAIMED INTERNATIONAL FILING DATE PCT/JP03/10196 11 August 2003 9 August 2002 earliest TITLE OF INVENTION FLAT TUBE AND PROCESS FOR PRODUCING HEAT EXCHANGER WITH USE OF THE FLAT TUBE APPLICANT(S) FOR DO/EO/US Takashi TERADA Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: \boxtimes This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. 2. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. 3. \boxtimes This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below. \boxtimes The US has been elected (Article 31). 4. 5. \bowtie A copy of the International Application as filed (35 U.S.C. 371 (c)(2)) a. 🗍 is attached hereto (required only if not communicated by the International Bureau). b. 🛚 has been communicated by the International Bureau. c. 🔲 is not required, as the application was filed in the United States Receiving Office (RO/US). An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). a. 🔲 is attached hereto. b. 🖂 has been previously submitted under 35 U.S.C. 154(d)(4). \boxtimes Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3)) 7. a. □ are attached hereto (required only if not communicated by the International Bureau). b. 🗆 have been communicated by the International Bureau. c. 🗆 have not been made; however, the time limit for making such amendments has NOT expired. d. 🔯 have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 8. Ø 9. An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)). 10. An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)). \boxtimes 11. A copy of the International Preliminary Examination Report (PCT/IPEA/409). 12. \boxtimes A copy of the International Search Report (PCT/ISA/210). Items 13 to 23 below concern document(s) or information included: 13. \boxtimes An Information Disclosure Statement under 37 CFR 1.97 and 1.98.

14.

An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.

15.

A FIRST preliminary amendment.

- 16. A SECOND or SUBSEQUENT preliminary amendment.
- 17. A substitute specification.
- 18. A power of attorney and/or change of address letter.
- 19. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 1.825.
- 20. \square A second copy of the published International Application under 35 U.S.C. 154(d)(4).
- 21. A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4).
- 22. Express Mail Label No.
- 23. Other items or information:

Application Data Sheet PCT/IB/304

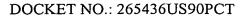
Notice of Priorities (2) PCT/IB/308

Form PTO-1449

Reference Cited (1)

PTO-1390 (Rev. 12-2004)
Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

U.S. APPLICATION NO (ICKnown See 37 6FR 1.5)			INTERNATIONAL APPLICATION NO.			ATTORNEY'S DOCKET NUMBER	
			PCT/JP03/10196			265436US90PCT	
24. The following fees are submitted:						Applicant use	Office use
☐ a) Basic national fee						\$ \$300.00	
						\$ \$200.00	
⊠ c) Search fee						s \$400.00	
TOTAL OF ABOVE CALCULATIONS = \$ 900.00						s \$900.00	
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.							
Total Sheets	Extra Sheets	ets Number of each additional 50 or fraction thereof (round up to a whole					
- 100 =	/50 =		x \$2.			\$ \$0.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than months from the earliest claimed priority date (37 CFR 1.492(e)).						\$	
CLAIMS	NUMBER FILED N		NUMBER EXTRA		RATE		
Total claims	40	- 20 =	20	х	\$50.00	\$ \$1,000.00	
Independent clair	ms 3	- 3=	0	x	\$200.00	\$ \$0.00	
MULTIPLE DEPENDENT CLAIMS (if applicable) → \$360.00						\$ '\$360.00	
TOTAL OF ABOVE CALCULATIONS =						S \$2,260.00	
Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.						s s 0.00	
SUBTOTAL =						\$ \$2,260.00	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).						\$ \$0.00	
TOTAL NATIONAL FEE =						\$ \$2,260.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40 per property +						s s 0.00	
TOTAL FEES ENCLOSED =						\$ \$2,260.00	
Amount to be refunded:							\$
Amount to be charged:							\$
a. A check in the amount of \$ to cover the above fees is enclosed.						I.	
b. Please charge my Deposit Account No in the amount of to cover the above fees. A duplicate copy of this sheet is							
c. The Director is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 15-0030 . A duplicate copy of this sheet is enclosed.							
d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.							
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.							
SEND ALL CORRESPONDENCE TO:						www. Sachan	
Customer Number SIGNATUR						É	
Surinder Sachar Masayası						Mori	
Registration No. 34,423 NAME							
47,301							
REGISTRAT						TON NUMBER	



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Takashi TERADA SERIAL NO.: NEW U.S. PCT APPLICATION

FILED: HEREWITH

INTERNATIONAL APPLICATION NO.: PCT/JP03/10196

INTERNATIONAL FILING DATE: August 11, 2003

FOR: FLAT TUBE AND PROCESS FOR PRODUCING HEAT EXCHANGER WITH USE OF

THE FLAT TUBE

REQUEST FOR PRIORITY UNDER 35 U.S.C. 119(e) AND THE INTERNATIONAL CONVENTION

Commissioner for Patents Alexandria, Virginia 22313

Sir:

In the matter of the above-identified application for patent, notice is hereby given that the applicant claims as priority:

COUNTRY USA <u>APPLICATION NO</u> 60/404,127

DAY/MONTH/YEAR

19 August 2002

Certified copies of the corresponding Convention application(s) were submitted to the International Bureau in PCT Application No. PCT/JP03/10196. Receipt of the certified copy(s) by the International Bureau in a timely manner under PCT Rule 17.1(a) has been acknowledged as evidenced by the attached PCT/IB/304.

Respectfully submitted, OBLON, SPIVAK, McCLELLAND, MAIER & NEUSTADT, P.C.

Masayasu Mori Attorney of Record

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